

Form 604

Corporations Act 2001

Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme DUG Technology Ltd (DUG)

ACN/ARSN 169 944 334

1. Details of substantial holder (1)

Name Regal Funds Management Pty Ltd (RFM)

ACN/ARSN (if applicable) 107 576 821

There was a change in the interests of the substantial holder on

13/09/2021

The previous notice was given to the company on

13/08/2020

The previous notice was dated

10/08/2020

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Current notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORDINARY	5,888,890	5.92%	10,677,352	9.34%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
	See Annexure 1		

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
MERRILL LYNCH INTERNATIONAL LIMITED	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	518,519 ORDINARY	518,519
CREDIT SUISSE AG	CS THIRD NOMINEES PTY LIMITED	CREDIT SUISSE AG	HOLDER OF SHARES	10,158,833 ORDINARY	10,158,833

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
NOT APPLICABLE	

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Kenny Ho	LEVEL 47 GATEWAY 1 MACQUARIE PLACE SYDNEY NSW 2000

Signature

print name Kenny Ho capacity Company Secretary

sign here  date 15/09/2021

Annexure 1

Changes in relevant interests

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
12/08/2020	Regal Funds Management	Buy	31991.88	22103	Ordinary	22103
13/08/2020	Regal Funds Management	Buy	144200.00	100000	Ordinary	100000
18/08/2020	Regal Funds Management	Buy	58262.18	41765	Ordinary	41765
19/08/2020	Regal Funds Management	Buy	327885.83	219395	Ordinary	219395
20/08/2020	Regal Funds Management	Buy	1539.20	1040	Ordinary	1040
25/08/2020	Regal Funds Management	Buy	213018.69	150066	Ordinary	150066
26/08/2020	Regal Funds Management	Buy	30714.28	22194	Ordinary	22194
27/08/2020	Regal Funds Management	Buy	13950.00	10000	Ordinary	10000
31/08/2020	Regal Funds Management	Buy	29381.13	22250	Ordinary	22250
1/09/2020	Regal Funds Management	Buy	47845.74	35810	Ordinary	35810
1/09/2020	Regal Funds Management	Buy	10586.00	7900	Ordinary	7900
2/09/2020	Regal Funds Management	Buy	9895.15	7426	Ordinary	7426
9/09/2020	Regal Funds Management	Buy	12430.18	10187	Ordinary	10187
17/09/2020	Regal Funds Management	Buy	24640.57	18847	Ordinary	18847
18/09/2020	Regal Funds Management	Buy	41679.60	31153	Ordinary	31153
25/09/2020	Regal Funds Management	Buy	36755.95	28903	Ordinary	28903
28/09/2020	Regal Funds Management	Buy	96230.96	76108	Ordinary	76108
29/09/2020	Regal Funds Management	Buy	73046.50	58559	Ordinary	58559
1/10/2020	Regal Funds Management	Buy	29830.02	23343	Ordinary	23343
6/10/2020	Regal Funds Management	Buy	25525.13	20443	Ordinary	20443
7/10/2020	Regal Funds Management	Buy	16791.74	13456	Ordinary	13456
22/10/2020	Regal Funds Management	Buy	19474.44	16745	Ordinary	16745

9/11/2020	Regal Funds Management	Sell	94216.16	82400	Ordinary	82400
10/11/2020	Regal Funds Management	Sell	18773.11	16390	Ordinary	16390
17/11/2020	Regal Funds Management	Buy	11249.48	10101	Ordinary	10101
18/11/2020	Regal Funds Management	Buy	22308.26	18704	Ordinary	18704
19/11/2020	Regal Funds Management	Buy	12000.00	10000	Ordinary	10000
27/11/2020	Regal Funds Management	Sell	50308.83	42900	Ordinary	42900
30/11/2020	Regal Funds Management	Sell	15145.00	13000	Ordinary	13000
1/12/2020	Regal Funds Management	Sell	24921.00	21300	Ordinary	21300
3/12/2020	Regal Funds Management	Sell	30735.40	26371	Ordinary	26371
12/01/2021	Regal Funds Management	Sell	21474.18	18900	Ordinary	18900
14/01/2021	Regal Funds Management	Sell	16061.62	14200	Ordinary	14200
1/02/2021	Regal Funds Management	Sell	18165.00	17300	Ordinary	17300
2/02/2021	Regal Funds Management	Sell	20512.05	19500	Ordinary	19500
25/02/2021	Regal Funds Management	Sell	58406.00	58000	Ordinary	58000
1/03/2021	Regal Funds Management	Sell	32219.00	31900	Ordinary	31900
2/03/2021	Regal Funds Management	Sell	11412.54	11400	Ordinary	11400
5/03/2021	Regal Funds Management	Sell	19471.16	18800	Ordinary	18800
9/03/2021	Regal Funds Management	Sell	6939.49	6881	Ordinary	6881
9/03/2021	Regal Funds Management	Sell	25250.00	25000	Ordinary	25000
10/03/2021	Regal Funds Management	Sell	5365.29	5361	Ordinary	5361
12/03/2021	Regal Funds Management	Sell	7964.78	7900	Ordinary	7900
12/03/2021	Regal Funds Management	Sell	18705.44	18400	Ordinary	18400
15/03/2021	Regal Funds Management	Sell	24917.41	25302	Ordinary	25302
23/03/2021	Regal Funds Management	Sell	819.28	836	Ordinary	836
24/03/2021	Regal Funds Management	Sell	113550.00	100000	Ordinary	100000
25/03/2021	Regal Funds Management	Sell	56770.00	50000	Ordinary	50000
26/03/2021	Regal Funds Management	Sell	190369.20	173000	Ordinary	173000
29/03/2021	Regal Funds Management	Sell	137382.69	124678	Ordinary	124678
30/03/2021	Regal Funds Management	Sell	67321.76	62191	Ordinary	62191
1/04/2021	Regal Funds Management	Sell	34841.60	31813	Ordinary	31813
1/04/2021	Regal Funds Management	Sell	13170.00	12000	Ordinary	12000
14/04/2021	Regal Funds Management	Buy	15935.18	14598	Ordinary	14598
15/04/2021	Regal Funds Management	Buy	847843.70	770767	Ordinary	770767
19/04/2021	Regal Funds Management	Sell	26939.79	23700	Ordinary	23700
20/04/2021	Regal Funds Management	Sell	37923.09	33383	Ordinary	33383
20/04/2021	Regal Funds Management	Sell	19808.50	17300	Ordinary	17300
21/04/2021	Regal Funds Management	Sell	62831.10	57000	Ordinary	57000
26/04/2021	Regal Funds Management	Sell	48856.68	45200	Ordinary	45200
26/04/2021	Regal Funds Management	Sell	34602.86	33018	Ordinary	33018
27/04/2021	Regal Funds Management	Sell	3451.34	3277	Ordinary	3277
27/04/2021	Regal Funds Management	Sell	9208.88	8600	Ordinary	8600
28/04/2021	Regal Funds Management	Sell	31362.00	30000	Ordinary	30000
30/04/2021	Regal Funds Management	Sell	4473.00	4260	Ordinary	4260
11/05/2021	Regal Funds Management	Sell	51708.87	53111	Ordinary	53111
12/05/2021	Regal Funds Management	Sell	19316.00	20000	Ordinary	20000
13/05/2021	Regal Funds Management	Sell	1788.38	1754	Ordinary	1754
14/05/2021	Regal Funds Management	Sell	5801.10	5679	Ordinary	5679
17/05/2021	Regal Funds Management	Sell	787.19	763	Ordinary	763

18/05/2021	Regal Funds Management	Sell	8652.46	8287	Ordinary	8287
19/05/2021	Regal Funds Management	Sell	4138.46	3919	Ordinary	3919
28/05/2021	Regal Funds Management	Sell	28266.15	25465	Ordinary	25465
31/05/2021	Regal Funds Management	Sell	14631.88	12605	Ordinary	12605
2/06/2021	Regal Funds Management	Sell	59310.00	50000	Ordinary	50000
2/06/2021	Regal Funds Management	Sell	52017.31	43551	Ordinary	43551
3/06/2021	Regal Funds Management	Sell	45673.40	36878	Ordinary	36878
4/06/2021	Regal Funds Management	Buy	62137.36	50018	Ordinary	50018
7/06/2021	Regal Funds Management	Buy	127196.12	98594	Ordinary	98594
8/06/2021	Regal Funds Management	Buy	62716.47	48799	Ordinary	48799
9/06/2021	Regal Funds Management	Buy	48828.90	37000	Ordinary	37000
10/06/2021	Regal Funds Management	Buy	14163.16	10711	Ordinary	10711
11/06/2021	Regal Funds Management	Buy	13100.00	10000	Ordinary	10000
15/06/2021	Regal Funds Management	Buy	16278.38	11965	Ordinary	11965
16/06/2021	Regal Funds Management	Buy	12278.09	9284	Ordinary	9284
17/06/2021	Regal Funds Management	Buy	15904.25	12162	Ordinary	12162
28/06/2021	Regal Funds Management	Buy	13224.90	9750	Ordinary	9750
2/07/2021	Regal Funds Management	Buy	5386.80	4020	Ordinary	4020
5/07/2021	Regal Funds Management	Buy	11766.51	8847	Ordinary	8847
7/07/2021	Regal Funds Management	Buy	19228.91	13935	Ordinary	13935
12/07/2021	Regal Funds Management	Buy	7094.74	5432	Ordinary	5432
13/07/2021	Regal Funds Management	Buy	6725.00	5000	Ordinary	5000
14/07/2021	Regal Funds Management	Buy	60608.56	44862	Ordinary	44862
15/07/2021	Regal Funds Management	Buy	76064.23	55123	Ordinary	55123
16/07/2021	Regal Funds Management	Buy	119739.09	85730	Ordinary	85730
19/07/2021	Regal Funds Management	Buy	23871.66	17977	Ordinary	17977
20/07/2021	Regal Funds Management	Buy	7650.60	6000	Ordinary	6000
21/07/2021	Regal Funds Management	Buy	10688.58	8483	Ordinary	8483
23/07/2021	Regal Funds Management	Buy	7352.17	5649	Ordinary	5649
27/07/2021	Regal Funds Management	Buy	5374.99	4249	Ordinary	4249
29/07/2021	Regal Funds Management	Sell	11554.28	9200	Ordinary	9200
30/07/2021	Regal Funds Management	Sell	8415.00	6600	Ordinary	6600
3/08/2021	Regal Funds Management	Buy	1406.16	1134	Ordinary	1134
3/08/2021	Regal Funds Management	Buy	15316.07	12507	Ordinary	12507
6/08/2021	Regal Funds Management	Sell	35257.60	32000	Ordinary	32000
9/08/2021	Regal Funds Management	Buy	22049.04	21201	Ordinary	21201
10/08/2021	Regal Funds Management	Sell	24312.21	22100	Ordinary	22100
10/08/2021	Regal Funds Management	Buy	10726.91	10187	Ordinary	10187
11/08/2021	Regal Funds Management	Sell	80976.04	71800	Ordinary	71800
11/08/2021	Regal Funds Management	Buy	11500.00	10000	Ordinary	10000
13/08/2021	Regal Funds Management	Buy	15430.66	12579	Ordinary	12579
18/08/2021	Regal Funds Management	Sell	5102.62	4208	Ordinary	4208
19/08/2021	Regal Funds Management	Sell	4165.00	3500	Ordinary	3500
23/08/2021	Regal Funds Management	Sell	3904.56	3366	Ordinary	3366
30/08/2021	Regal Funds Management	Sell	17741.00	15700	Ordinary	15700
1/09/2021	Regal Funds Management	Sell	35761.51	33088	Ordinary	33088
7/09/2021	Regal Funds Management	Sell	11934.00	11700	Ordinary	11700
13/09/2021	Regal Funds Management	Buy	3745922.40	4162136	Ordinary	4162136